



PTO/SB/08b (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/608,746		
		Filing Date	6/27/03		
		First Named Inventor	Dileep Kumar Panjwani		
		Art Unit	2131		
		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	SEC-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KORAL ILGUN et al. "State Transition Analysis: A Rule-Based Intrusion Detection Approach", IEEE Trans. Software Eng. Vol 21, No. 3, 3/95, pp 181-199	
		TERESA F. LUNT "A survey of intrusion detection techniques" Computers & Security, 12 (1993) pp 404-418 (Elsevier Science Publishers Ltd.).	
		TERESA F. LUNT et al. "A Real-Time Intrusion-Detection Expert System (IDES)", Final Technical Report, February 28, 1992 (SRI International) pp. 1-156	
		HERVE DEBAR et al. "Towards a Taxonomy of Intrusion-Detection Systems" (Elsevier Preprint), 28 October 1998 pp. 1-31	
		SHIUH-PYNG SHIEH et al. "On a Pattern-Oriented Model for Intrusion Detection" IEEE Trans. Knowledge and Data Eng. Vol. 9, No. 4 (1997) pp 661-7	
		HELEN J. WANG et al. "Shield: Vulnerability-Driven Network Filters for Preventing Known Vulnerability Exploits" SIGCOMM '04, Aug/Sep. 2004	
		"Computer Security Threat Monitoring and Surveillance" James P. Anderson Co., February 26, 1980, pp. 1-54	
		KENNETH J. MACLEOD "Patch Management and the Need for Metrics" SANS Institute (c) 2004 pp. 1-20	

Examiner Signature		Date Considered	3 AUG 04
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Sheet		1	of	2	Attorney Docket Number	
					SA-04	
				Application Number		
				Filing Date		
				First Named Inventor		Dileep Kumar
				Group Art Unit		
				Examiner Name		

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5278901	713	Shieh et al.	Jan., 1994	
		5414833	713	Hershey et al	May, 1995	
		5557742	395	Smaha et al	Sep, 1996	
		5720033	395	Deo	Feb, 1998	
		5727146	395	Savoldi et al.	Mar., 1998	
		5948104	713	Gluck et al.	Sep., 1999	
		5991881	713	Conklin et al	Nov., 1999	
		6035423	714	Hodges et al.	Mar., 2000	
		6279113	713	Vaidya	Aug, 2001	
		6,321,338	713	Porras, et al	Nov, 2001	
		6,477,651	713	Teal	Nov, 2002	
		5,983,348	713	Ji	Nov, 1999	
		6,272,641	713	Ji	Aug, 2001	
		5,826,013	713	Nachenberg	Oct, 1998	

FOREIGN PATENT DOCUMENTS								
Examiner (Initials)	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
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				713				
				713				
				395				
				395				

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449B/PTO</p> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td style="width: 50%;"></td></tr><tr><td>Filing Date</td><td></td></tr><tr><td>First Named Inventor</td><td>Dileep Kumar</td></tr><tr><td>Group Art Unit</td><td></td></tr><tr><td>Examiner Name</td><td></td></tr><tr><td>Attorney Docket Number</td><td>SA-04</td></tr></table>		Application Number		Filing Date		First Named Inventor	Dileep Kumar	Group Art Unit		Examiner Name		Attorney Docket Number	SA-04
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Sheet	2	of	2												

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Anderson, James P. "Computer Security Threat Monitoring and Surveillance", 15 April 1980	
		Newmann, Peter http://www.csl.sri.com/users/neumann/neumann.html	
		Denning et al., "Prototype IDDES: A Real-Time Intrusion-Detection Expert System," SRI Project ECU 7508, SRI International, MenloPark,	
		Ilgun et al., State Transition Analysis: A Rule-Based Intrusion Detection Approach, IEEE Transactions on Software Engineering, vol. 21, No. 3, Mar. 1995.	
		Liepins et al., "Anomaly Detection: Purpose and Framework," US DOE Office of Safeguards and Security.	
		Shieh et al., A Pattern-Oriented Intrusion-Detection Model and Its Applications .COPYRGT. 1991 IEEE.	
		Common Vulnerabilities and Exposures (CVE), http://www.cve.mitre.org	
		Vulnerability Statistics, http://icat.nist.gov/icat.cfm?function=statistics	
		Debar, et al., "Towards a Taxonomy of Intrusion-Detection Systems," Computers Networks 31 (1999), 805-822.	
		Lunt, "A Survey of Intrusion Detection Techniques," Computers & Security, 12 (1993) 405-418.	
		Veldman, Frans, "Heuristic Anti-Virus Technology", Proceedings of the International Virus Protection and Information Security Conference, Apr. 1, 1994.	

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